### In the United States Patent and Trademark Office

In re the application of Lee D. Whetsel

TI-14124D.6

Div. of Serial No. 10/649,274

Prev. Art Unit: 2131

Filed:10/20/2003

Prev. Examiner: Hua, L.

Title: Digital Bus Monitor Integrated Circuits

#### Information Disclosure Statement A

October 22, 2003

Asst. Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

MAILING CERTIFICATE UNDER 37 C.F.R. \$1.8(A) MAILING CERTIFICATE UNDER 37 C.F.R. \$1.8(A)
I hereby certify that the above correspondence is being deposited with the U.S. Postal Service as Express Mail airbill EV333320875US in an envelope addressed to: Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 22, 2003.

Applicant requests consideration of all patents, publications or other documents listed on enclosed forms PTO-1449A.

Under Rule 97(h), the filing of this information disclosure statement shall not be construed to be an admission that the information cited in this statement is, or is considered to be material to patentability as defined in Rule 56(b).

Applicant points out particular references and figure numbers and provides a brief explanation of each cited reference in Attachment A.

Please consider this statement as filed under Rule 97(b) within three months of the filing of a national application, or before the mailing date of a first Office action on the merits, which ever event occurs last. No certification or fee is due. We enclose two copies of this sheet.

Respectfully submitted,

Lawrence J. Bassuk

Reg. No. 29,043

Attorney for Applicant

Texas Instruments Incorporated P. O. Box 655474, MS 3999 Dallas, Texas 75265 972-917-5458

## Attachment A

# **Foreign Patent Documents**

EP 0,310,152 A2 (European patent application) to Wood discloses a synchronous test overlay circuit, Figure 3, interposed between blocks of logic to be tested.

JP 01-038674 A discloses eliminating the need for an additional input terminal for testing by providing a test recognition circuit 9.

JP 01-043773 (A) to Koji discloses testing a propagation delay to a flip-flop 104 by selecting the output of flip-flop 104 with a scan-address pin 112 to obtain the state of the flip-flop 104 output on scan-out pin 116.

JP 01-068167 A discloses a fault detection processor. Signal checking means 2 detects the presence and absence of an error. Fault occurrence calculation means 3 calculates a fault occurrence frequency PF. Device test start means 4 starts a devices test circuit 9 as the frequency PF is a prescribed value or more.

JP 64-079,673 to Masao discloses testing a RAM circuit.

JP 01-110,274 (A) discloses a serial scan path between terminals 91 and 95, see Figure 1. Each of registers 100 (101-108) has three inputs: one serial input, a parallel input from the output of circuit block 10X and an input from the output of circuit block 10A. Shift registers 80A and 80D also are connected in the serial scan path.

JP 57-094857 A apparently discloses a scan path 471-472 with three sets of latches 400-403, 410-413, and 430-433. Addresses are supplied to latches 400-403. The contents of the scratch pad memory is read to latches 430-433, which are then observed by shifting.

JP 57-209546 (A) discloses flip-flops 2-1 through 2-n, storage registers 3A and 3B, multiplexers 4A, 4B and 4C, a comparison condition setting flip-flop 5 and a comparison circuit 6. Storage registers 3A and 3B contain scanning addresses loaded externally. Multiplexers 4A and 4B selectively output the contents of the flip-flops 2-1 through 2-n according to the addresses stored in them. Multiplexer 4C outputs the contents of the selected flip-flop according to the addresses present on address line l.

JP 58-155599 to Wada, et al. discloses a memory testing circuit.

JP 58-191021 A discloses detecting a fault in comparator 3 by comparing the received input interface 2 with a standard input stored in memory 1.

JP 59-210382 (A) discloses testing an LSI circuit with scan-in flip-flops 23aa-23ag and scan-out flip-flops 23ba-23br. Testing device 1 provides an address decoded in decoder 25 to select one scan-in and one scan-out flip-flop. The outputs of the flip-flops are connected through an OR gate 26 to a testing device, which compares the outputs to decide whether the LSI is normal.

JP 60-140834 discloses that the comparison circuit 2 compares the outputs of the 4 bit register 1 with the expected data outputs of register 3.

JP 60-0252958 discloses scan flip-flops 35a-35c in each input/output circuit unit 31. Necessary data are transmitted in series using a small number of connecting lines distributed by a decoder 34.

div. of 10/649,274

JP 60-262073 discloses monitoring the operation of digital signal processor 1 by simulation processor. Input data on input signal line IL is stored in memory 6. Output from the digital signal processor 1 is stored in memory 7. Simulation processor 8 processes the data from memory 6 and the output of processor 8 is compared in comparator 3 with the data stored in memory 7. Non-coincidence causes a signal on terminal 5.

JP 62-031447 A discloses monitoring and recording data on a data bus independent of a computer to be monitored. The monitored data is compared with other data to determine stop conditions.

JP 62-228177 (A) discloses circuits 11-13 that capture the logical states on terminals 1-4. The contents of circuits 11-13 can be clocked out on terminal 23 by placing a low on terminal 4.

JP 62-280663 discloses logic circuit 110. Fault detection circuits 121-12n detect faults in logic circuit 110 at particular locations. Flip-flops 141-14n save the detected fault state through selectors 131-13n. Logic circuit 150 receives the outputs of flip-flops 141-14n and produces a fault signal at terminal C.

JP 63-073169 (A) discloses reducing by one pin the number of pins used for normal and test operation. Pin 6 is multiplexer for both data and test.

JP 63-188783 A discloses a logic analyzer. A detector 10 detects a prescribed logic relation among plural binary inputs. Time width detection part 20 determines whether the prescribed logic relation occurs for a prescribed time. Selection part 30 selects a prescribed input signal to be analyzed.

JP 63-213014 A discloses shift path control means 100 passing an instruction to clock transmission instruction means 200 that controls transmission of a clock signal to each of 1<sup>st</sup> shift

div. of 10/649,274

path logical units 400,410, 2<sup>nd</sup> shift path logical units 420,430,440, and 3<sup>rd</sup> shift path logical unit 450.

JP 63-310046 A discloses a test auxiliary circuit. The circuit reduces a serial shift operation to read out response data of a circuit to be tested by latching data at an input terminal to a latch circuit only when the data at a parallel input terminal differs from expected value data.

JP 64-006572 discloses in Figure 1 an Exclusive-OR gate 8 receiving the outputs of input shift registers 2 and output shift registers 5.

#### **Other Documents**

The Avra paper discloses a test and maintenance control block that receives commands serially over an ETM-BUS to control chip level test and maintenance features such as chip initialization, serial scan, debug and built-in self-test operations.

The Bhavsar, et al. paper (1981) discloses self testing by polynomial division in feed back shift registers for test vector generation and response evaluation.

The Breuer, et al. paper discloses a module test and maintenance controller (MMC) for testing chips. The controller tests every chip in a module by an ETM-BUS or a Boundary Scan bus. The MMC requires either a RISC-type processor or DMA controller.

The Dervisoglu paper discloses an architecture for implementing scan technology for test and debug in a sate-of-the-art workstation.

The El-ziq, et al. paper discloses a mixed-mode built-in self-test technique using scan path and signature analysis.

div. of 10/649,274

The ETM-Bus Specification paper discloses the performance requirements for a particular test bus.

The Haedtke, et al. paper discloses multilevel self-test for the factory and field. Figure 4 depicts a simplified bi-directional boundary scan I/O cell.

The Hahn, et al. paper discloses VLSI testing by on-chip error detection with scanned and expected data being latched in respective latches. The outputs of the two latches connect to an exclusive OR gate to determine any error.

The Hudson, et al. paper, September, 1987, discloses parallel self test with pseudo-random test patterns.

The Hudson paper discloses integrating BIST and boundary scan on a board.

The IBM Technical Disclosure Bulletin, June, 1985, discloses a bi-directional double latch that can be used in Level Sensitive Scan Designs.

The IBM Technical Disclosure Bulletin, December, 1988, discloses a self-contained performance monitor for a personal computer. The monitor interrogates the PC I/O for 2 programmable events and 1 external event. When an event occurs, a timer value, the PC data bus, and identification information are captured and automatically gated into a battery-back-up RAM. The RAM is read through a register 60 connected to a register bus.

The Intel 80386 Programmer's Manual discloses the debugging features of the 80386 architecture and the registers used for debugging. The principal debugging support takes the form of debug registers. The debug registers support both instruction breakpoints and data breakpoints. div. of 10/649,274

A reserved debug interrupt vector permits the processor to automatically invoke a debugger task or procedure when an event occurs that is of interest to the debugger. The debug registers are accessed by variants of the MOV instruction.

The Intel386<sup>TM</sup> DX Microprocessor data sheet discloses the debugging features of the 80386 architecture and the registers used for debugging. On the page of the data sheet following the page carrying Fig. 2-13, Debug Registers, and at the paragraph bridging the left and right columns, the data sheet explains that the Debug registers can only be accessed in Real Mode. At Section 3.1, REAL MODE INTRODUCTION, Real Mode operation allows access to the 32-bit register set of the 80386.

The Intel Microprocessor and Peripheral Handbook, Section 2.11.2 TLB Testing, also discloses that there are two TLB (Translation Lookaside Buffer) testing operations. One is to write entries into the TLB. The other is to perform TLB lookups. C: is the command bit. A "0" written into this bit causes an immediate write into the TLB entry. A "1" written into this bit causes an immediate TLB lookup.

The Joint Test Action Group paper, January, 1988, discloses an early version of the standard for a boundary scan test architecture.

The Kuban paper discloses a built-in self-test of a Motorola microprocessor having a serial architecture. The self-test is based on a ROM-driven signature analysis technique. The resulting signature is output from the microprocessor for external examination of the signature.

The Laurent paper discloses implementing a boundary scan path and an internal scan path in VLSI circuits. Figures 2 and 3 depict respective input and output buffers.

div. of 10/649,274 8 IDS A TI-14124D.6 The Lien paper discloses a Module test and Maintenance Controller (MMC) that can test every chip on a JTAG boundary scan bus and control more than one test bus. The MMC includes a test-channel that, once initialized by the MMC processor, controls testing across a specific test bus.

The Marlett, et al. paper discloses a RISP methodology for testing integrated circuits.

The Maunder et al. paper discloses an industry-standard boundary-scan framework for merchant and custom integrated circuits. The boundary-scan path provides for external, internal and self-testing of integrated circuits through shift register-latch scan-cells located at the bond pads of the integrated circuit. Figure 9 depicts one possible implementation of a scan-cell complying with JTAG requirements. The paper also discloses testing analog signals.

The Ohletz, et al. paper discloses investigating the overhead for different scan designs and self-testing designs.

The Ohsawa, et al. paper discloses a 4 Mbit CMOS DRAM with built-in self-test. A board carrying 64 4 Mbit x 1 DRAMs provides for simultaneous testing of all DRAMs in one row.

The Paraskeva, et al. paper discloses a new structured test register for VLSI self-test. See Figure 1.

The Pradhan et al paper discloses a circular BIST technique to perform a random test of sequential logic. Additional deterministic tests are presented to the circuit under test by configuring the circular path as a partial scan chain.

The Russell paper discloses the JTAG proposal and it impact on automatic testing. Figure 2 depicts an input pin cell and Figure 3 depicts an output pin cell.

div. of 10/649,274

IDS A

The Sabo paper discloses the costs of not designing for test.

The Sellers, et al. book extract illustrates four ways to design an error correction circuit in Figures 12.2a-12.2d.

The van Riessen, et al. paper discloses integration of the boundary scan standard with the built-in self test approach. The built-in self test uses a macroprocessor, see Figure 7 to produce a test signature.

The Wagner paper discloses interconnect testing with boundary scan. Figure 4 depicts a boundary scan bit-slice.

The Wang, et al. 1986 paper discloses a concurrent built-in logic block observer combines the scan and BILBO techniques.

The Wang, et al. 1989 paper discloses using a JTAG boundary scan bus with pseudorandom patterns from a cellular automaton to locate defective chips and walking sequences to locate bad interconnects.

The Whetsel paper, January, 1988, discusses the details of the JTAG port and architecture. Figure 8 depicts a boundary register bit.

The Whetsel paper, July, 1988, discloses a standard test bus and boundary scan architecture used by Texas Instruments Incorporated in its implementation of the JTAG architecture. The disclosure covers the scan path, a scan cell, a test access port and instruction and data registers, and state diagrams.

The Whetsel paper, October, 1988, discloses a proposed standard test bus and boundary scan architecture from the Joint Test Action Group.

FORM PTO-1449 (REV. 7-80)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

SERIAL NO.

LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)

TI-14124D.6

div. of 10/649,274

APPLICANT

Lee D. Whetsel

FILING DATE

GROUP

October 22, 2003

**TBD** 

## FOREIGN PATENT DOCUMENTS

	DOCUMENT					TRANSLATIO
	NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	
	EP 0,310,152	4/5/1989	<u> </u>	G01	R31/28	X
	JP 01-038674 A	2/8/1989		G01R	31/28	Х
	JP 01-043773	2/16/1989	Japan	G01	R31/28	Х
	JP 01-068167 A	3/14/1989	L	H04M	3/22	Х
	JP 01-079673(A)	3/24/1989	Japan	G01R31	28	X
	JP 01-110274	4/26/1989	Japan	G01R31	28	Х
	JP 57-094857 A	6/12/1982	Japan	G06F	37582	Х
	JP 57-209546	12/22/1982	Japan	G06F11	20	Х
	JP 58-155599	9/16/1983	Japan	G11	C29	Х
	JP 58-191021 A	11/8/1983	Japan	G06F	36586	Х
	JP 59-210382	11/29/1984	Japan	G01R31	28	Х
	JP 60-140834 A	7/25/1985	Japan	H01L	21/66	X
	JP 60-252958	12/13/1985	Japan	G06F11	22	Х
	JP 60-262073	12/25/1985	Japan	G01R	31/28	Х
	JP 62-031447 A	2/10/1987	Japan	G06F	37582	Х
· · · · · · · · · · · · · · · · · · ·	JP 62-228177	10/7/1987	Japan	G01R31	28	Х
	JP 62-280663	12/5/1987	Japan	G01R	31/28	Х
	JP 63-073169	4/2/1988	Japan	G01R31	28	Х
	JP 63-188783 A	8/4/1988	Japan	G01R	31/28	Х
	JP 63-213014 A	9/5/1988	Japan	G06F	37260	Х
	JP 63-310046 A	12/19/1988	Japan	G06F	37606	Х
	JP 64-006572	1/13/1989	Japan	G01R	31/28	Х
				_		
						- · · <u>- · · -</u>
AMINIPD	<u> </u>		<u> </u>	1		
AMINED			I TO A COT	CONCEDED		

EXAMINER

DATE CONSIDERED

+EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>.</b>	Form 1449A		Pa	ge 2 of 4	
FORM PTO-1449 (REV. 7-80)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.	SERIAL NO.		
LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)		TI-14124D.6	div. of 10/649,274		
	(See See See See See See See See See See	APPLICANT			
		Lee D. Whetsel			
		FILING DATE	GROUP		
	r	October 22, 2003	TBD		
	OTHER DOCUMENTS (Including Author, Title	, Date, Pertinent Pages, Etc	c.)		
	Avra, LaNae, "A VHSIC ETM-BUS Compatible Test a International Test Conference, Sept. 1-3, 1987, Paper		face", 1987		
	Bhavsar, et al., "Self-Testing by Polynomial Division", Digest of Papers, International Test Conference, 1981, pp.208-216				
	Breuer, Melvin A.; Lien, Jung-Cheun, "A Test and Maintenance Controller for a Module Containing Testable Chips", 1988 International Test Conference, Sept. 12-14, 1988, Paper 27.1, pp. 502-513				
	Dervisoglu, Bulent I., "Using Scan Technology for Debug and Diagnostics in a Workstation Environment", 1988 International Test Conference, Sept. 12-14, 1988, Paper 45.2, pp.976-986				
	El-ziq, et al., "A Mixed-Mode Built-In Self-Test Technique Using Scan Path and Signature Analysis", International Test Conference, Oct. 18-20, 1983, pp. 269-274				
	ETM-Bus Specification, VHSIC Phase 2 Interoperability Standards, December 31, 1985, Version 1.0				
	Haedtke, et al., "Multilevel Self-test for the Factory and Field", Proceedings, Annual Relaibility and Maintainability Symposium, 1987				
	Hahn, et al., "VLSI Testing By On-Chip Error Detection", IBM Technical Disclosure Bulletin, Vol. 25, No. 2, July 1982				
	Hudson, et al., "Integrating BIST And Boundary-Scan On A Board", Proceedings of the National Communications Forum, Sept. 30, 1988, pp. 1796-1800				
	Hudson, et al., "Parallel Self-test With Pseudo-Random Test Patterns", International Test Conference, Sept. 1-3, 1987, pp.954-963				
	IBM Technical Disclosure Bulletin, "Bidirectional Double Latch", Vol. 28, No. 1, June, 1985				
	IBM Technical Disclosure Bulletin, "Self-Contained IBM Performance Monitor for a Personal Computer", December, 1988, Vol. 3, No. 7, pp.376-377				
	Intel, "80386 Programmer's Reference Manual 1986", Chapter 12: Debugging, pp. 12-1 - 12-9, 1/18/1988				
	Intel, "Intel386™ DX Microprocessor Data Sheet," Se	ction 2.11: Testability,	1988		
	Intel, "Microprocessor and Peripheral Handbook", 803 1988	886 Preliminary, Section	on 2.11: Testability,		
	Joint Test Action Group, Technical Sub-Committee, "January 1988	A Standard Boundary	Scan Architecture",		

EXAMINER DATE CONSIDERED

+EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (REV. 7-80)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.	SERIAL NO.		
LIST	OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)	TI-14124D.6	div. of 10/649,274		
	•	APPLICANT			
		Lee D. Whetsel			
		FILING DATE	GROUP		
		October 22, 2003	TBD		
	OTHER DOCUMENTS (Including Author, Title	e, Date, Pertinent Pages, Et	c.)		
	Kuban, John R. and Bruce, William C, "Self-Testing th Test, May, 1984, earlier version in International Test C	e Motorola MC6804P conference Proceedin	2," IEEE Design & gs, October 1983		
	Laurent, "An Example of Test Strategy for Computer Implemented with VLSI Circuits", IEEE International Conference on computer Design: VLSI in Computers, Oct. 7-10, 1985, pp. 679-682				
	Lien, Jung-Cheun; Breuer, Melvin A., "A Universal Test and Maintenance Controller for Modules and Boards", IEEE Transactions on Industrial Electonics, Vol. 36, No. 2, May 1989, pp. 231-240				
	Marlett, et al., "RISP Methodology", Electronic Engine	ering, February, 1989	, pp.45-48		
	Maunder, Colin, and Beenker, Frans, "Boundary-Scan: A Framework for Structured Design-for-Test," paper 30.1, International Test Conference 1987 Proceedings, Sep. 1-3				
	Ohletz, et al., "Overhead in Scan and Self-testing Designs", International Test Conference, 1987, Sep. 1-3, pp. 460-470				
	Ohsawa, et al., "A 60-ns \$-Mbit CMOS DRAM with Built-In self-Test Function", IEEE Journal of Solid-State Circuits, Vol. SC-22, No. 5, October 1987, pp. 663-668				
	Paraskeva, et al., "New Test Structure for VLSI Self-Test: The Structured Test Register", 8030 Electronic Letters, 21 (1985) sept. No. 19, Stenenage, Herts, Great Britain, July 26, 1985				
	Pradhan, M.M., et al., "Circular BIST with Partial Scan," 1988 International Test Conference, Sept. 12-14, 1987, Paper 35.1, pp. 719-727				
	Russell, "The JTAG Proposal and Its Impact On Automatic Test", ATE & Instrumentation Conference, Sept, 1988, pp. 289-297				
	Sabo, et al., "Genesil Silicon Compilation and Design For Testability", IEEE Custom Integrated Circuits Conference, May 12-15, 1986, pp. 416-420				
	Sellers, et al., "Error Detecting Logic for Digital Computers", McGraw-Hill Co., 1968 pp. 207-211				
	van Riessen, R. P., Kerkhoff, H. G., Kloppenburg, A., "Design and Implementation of a Hierarchical Testable Architecture Using the Boundary Scan Standard", Proceedings, 1 <sup>st</sup> European Test Conference, Paris, France, April 12-14, 1989, pp. 112-118				
	Wagner, "Interconnect Testing With Boundary Scan", International Test Conference Proceedings, 1987, Sep. 1-3, pp. 52-57				
	Wang, et al., "Concurrent Built-In Logic Block Observer (CBILBO)", IEEE International Symposium On Circuits and Systems", May 5-7, 1986, Vol. 3, pp. 1054-1057				
	Wang, Laung-Terng; Marhoefer, Michael; McCluskey, Architecture for Boards Using Boundary Scan", Proceed April 12-14, 1989, pp. 119-126	Edward, J., "A Self-T edings 1 <sup>st</sup> European 1	est and Self-Diagnosis est Conference, Paris,		
EXAMINER		DATE CONSIDERED			
+EXAMINER: Init considered. Include	tial if reference considered, whether or not citation is in conformance with MP ecopy of this form with next communication to applicant.	EP 609; Draw line through	a citation if not in conformance and not		

FORM PTO-1449 (REV. 7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.	SERIAL NO.
	T OF DOO	CUMENTS CITED BY APPLICANT (Use several sheets if necessary)	TI-14124D.6	div. of 10/649,274
			APPLICANT	
			Lee D. Whetsel	
			FILING DATE	GROUP
			October 22, 2003	TBD
		OTHER DOCUMENTS (Including Author	, Title, Date, Pertinent Pages, Et	c.)
		Whetsel, Lee, "A Proposed Standard Test Bus International Conference on Computer Design: pp. 330-333	and Boundary Scan Archi VLSI in Computers & Pro	tecture", IEEE cesses, Oct. 3-5, 1988,
		Whetsel, Lee, "A Standard Test Bus and Bound Instruments Technical Journal, July-August 198	dary Scan Architecture," p 38, Vol. 5, No. 4	p. 48-59, Texas
		Whetsel, Lee, "A View of the JTAG Port and Ar West, Jan. 11-14, 1988, pp. 385-401	chitecture", ATE & Instrun	nentation Conference
<u></u>				
EXAMINER			DATE CONSIDERED	
l				

+EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.